

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
FEB 26 2004  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14990

2681  
#9  
S/T  
03-02-04

Re Application Of: Masaru Hirata

Serial No.	Filing Date	Examiner	Group Art Unit
09/974,956	October 11, 2001	Unassigned	2681

Title: MOBILE STATION AND METHOD FOR ALLOCATING FINGER THEREOF IN CDMA COMMUNICATION SYSTEM

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P.O. Box 1450  
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37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

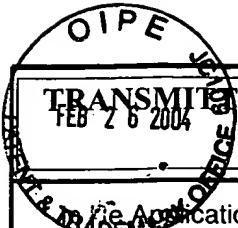
37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).



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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
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Paul J. Esatto, Jr.

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\_\_\_\_\_  
Signature

Paul J. Esatto, Jr.

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Garden City, New York 11530  
(516) 742-4343/4366 Fax

CC:

Dated: February 23, 2004



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Masaru Hirata

**Examiner:** Unassigned

**Serial No.:** 09/974,956

**Art Unit:** 2681

**Filed:** October 11, 2001

**Docket:** 14990

**For:** MOBILE STATION AND  
METHOD FOR ALLOCATING  
FINGER THEREOF IN CDMA  
COMMUNICATION SYSTEM

**Dated:** February 23, 2004

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Commissioner for Patents  
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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. European Patent Application No. EP 0 924 868A1, published June 23, 1999;

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, Virginia 22313-1450 on February 23, 2004.

**Dated:** February 23, 2004

  
Paul J. Esatto, Jr.

2. U.S. Patent No. 5,936,999, dated August 10, 1999, issued to Keskitalo; and
3. International Publication WO 97/40398, published October 30, 1997;

The references were cited in a Search Report received from the European Patent Office, dated December 15, 2003. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report. International Publication No. 99/57819, published November 11, 1999 was previously submitted in applicants' Information Disclosure Statement of August 27, 2003.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no statement or fee is required.

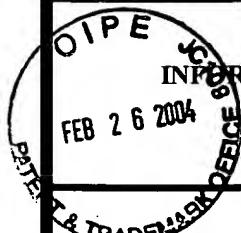
Respectfully submitted,



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## **INFORMATION DISCLOSURE CITATION**

*(Use several sheets if necessary)*

FEB 26 2004

**Docket Number (Optional)**

14990

**Application Number**

09/974,956

**Applicant(s)**

Masaru Hirata

**Filing Date**

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## **Group Art Unit**

2681

## **U.S. PATENT DOCUMENTS**

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## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	EP 0 924 868A1	6/23/1999	EPO				
	WO 97/40398	10/30/1997	PCT				

**OTHER DOCUMENTS** (*Including Author, Title, Date, Pertinent Pages, Etc.*)


**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.